

2151

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Susser, et al.
 SERIAL NO.: 09/235,158
 FILING DATE: January 22, 1999
 TITLE: TECHNIQUES FOR IMPLEMENTING SECURITY ON A
 SMALL FOOTPRINT DEVICE USING A CONTEXT BARRIER
 EXAMINER:
 ART UNIT:



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 DEC 18 2000
 Technology Center 2100

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Date: 11/30/00

Name:

Nicki Kuzn
 Nicki Kuzn

COMMISSIONER FOR PATENTS
 WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

Enclosed for filing are the following documents:

1. Revocation of Attorney and Power of Attorney with Certificate Under 37 CFR §3.73(b) attached.

It is believed that no fee is required for filing the above-referenced documents. In the event that any additional fee is required, including any fees required under 37 C.F.R. §1.136 for any necessary extension of time to make the filing of the attached documents

timely, the Commissioner is hereby authorized to charge any fees, and credit any overpayments, to Deposit Account No. 04-0025. A duplicate of this page is enclosed.

Respectfully submitted,
D'ALESSANDRO & RITCHIE



Marc S. Hanish
Reg. No. 42,626

Dated: November 30 2000

D'ALESSANDRO & RITCHIE
P.O. Box 640640
San Jose, CA 95164-0640
Tel.: (408) 441-1100
Fax: (408) 441-8400

#10
W.M. Meredith
4/10/01

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Technology Center 2100

APPLICANT: Susser, et al.

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Name:

Nicki Kuzo

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231REVOCATION OF ATTORNEY AND POWER OF ATTORNEY

The undersigned, having authority to act on behalf of Sun Microsystems, Inc.,
assignee of all right, title, and interest in the above-identified patent application, hereby
revokes all powers of attorney previously given and hereby appoints Kenneth
D'Alessandro, Registration No. 29,144; David B. Ritchie, Registration No. 31,562;
Marc S. Hanish, Registration No. 42,626; John P. Schaub, Registration No. 42,125;
Gerhard W. Thielman, Registration No. 43,186; Adrienne Yeung, Registration
No. 44,000; Steven J. Robbins, Registration No. 40,299; Kenneth Olsen, Registration

No. 26,493; Timothy J. Crean, Registration No. 37,116; Joseph T. FitzGerald, Registration No. 33,881; Alexander E. Silverman, Registration No. 37,940; Anirma Rakshpal Gupta, Registration No. 38,275; Sean P. Lewis, Registration No. 42,798; Michael J. Schallop, Registration No. 44,319; Bernice B. Chen, Registration No. 42,403; Kenta Suzue, Registration No. 45,145; Noreen Krall, Registration No. 39,734; Richard J. Lutton, Jr., Registration No. 39,756; Monica D. Lee, Registration No. 40,696; Marc D. Foodman, Registration No. 34,110; Naren Chaganti, Registration No. 44,602; Elaine Lee, Registration No. 41,936; Ramin Aghevli, Registration No. 43,462; Hugh H. Matsubayashi, Registration No. 43,779; Paul Sorkin, Registration No. 39,039; and Marilyn Glaubenslee, Registration No. 35,521, as its attorneys to act on applicant's behalf before the United States Patent and Trademark Office for the above-identified application and to transact all business in the Patent and Trademark Office in connection therewith.

Please mail all correspondence to David B. Ritchie at the following address:

D'Alessandro & Ritchie
P.O. Box 640640
San Jose, CA 95164-0640

and direct all telephone calls to David B. Ritchie at (408) 441-1100.

Respectfully submitted,
SUN MICROSYSTEMS, INC.



Kenneth Olsen
Vice President, Intellectual Property

Dated: NOV 29 2000



CERTIFICATE UNDER 37 CFR § 3.73(b)

Docket No. SUN-P3708

RECEIVED
DEC 18 2000
Technology Center 2100

Applicant: Sun Microsystems, Inc.

Application No.: 09/235,158 Filed: January 22, 1999

For: Techniques for Implementing Security on a Small Footprint Device Using a Context Barrier

Sun Microsystems, Inc., a Delaware Corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 011020, Frame 0922, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded at the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded at the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded at the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the parent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: NOV 29 2000

Name: Kenneth Olsen

Title: VP, Intellectual Property

Signature: _____



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

OCTOBER 13, 2000

PTAS

D'ALESSANDRO & RITCHIE
DAVID B. RITCHIE
P.O. BOX 640640
SAN JOSE, CALIFORNIA 95164-0640

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OCT 23 2000



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Technology Center 2100

D'ALESSANDRO & RITCHIE

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/31/2000

REEL/FRAME: 011020/0922
NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

STRETCH, ANDY

DOC DATE: 07/20/2000

ASSIGNOR:

SUSSER, JOSHUA

DOC DATE: 07/20/2000

ASSIGNOR:

BUTLER, MITCHEL B.

DOC DATE: 07/20/2000

ASSIGNEE:

SUN MICROSYSTEMS, INC.
901 SAN ANTONIO ROAD
PALO ALTO, CALIFORNIA 94303-4900

SERIAL NUMBER: 09235158
PATENT NUMBER:

FILING DATE: 01/22/1999
ISSUE DATE:

SERIAL NUMBER: 09235155
PATENT NUMBER:

FILING DATE: 01/22/1999
ISSUE DATE:

011:020/0922 PAGE 2

SERIAL NUMBER: 09235159
PATENT NUMBER:

FILING DATE: 01/22/1999
ISSUE DATE:

SERIAL NUMBER: 09235157
PATENT NUMBER:

FILING DATE: 01/22/1999
ISSUE DATE:

SERIAL NUMBER: 09235156
PATENT NUMBER:

FILING DATE: 01/22/1999
ISSUE DATE:

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-1619A
Expires 08/30/99
OMB 0651-0027



08-28-2000



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Submission Type

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☐ Correction of PTO Error

Reel # Frame #

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Conveyance Type

☒ Assignment

☐ Security Agreement

☐ License

☐ Change of Name

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☐ Departmental File

☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1) SUSSER, Joshua

Execution Date
Month Day Year
 07 20 00

Name (line 2)

Second Party

Name (line 1) BUTLER, Mitchel B.

Execution Date
Month Day Year
 07 20 00

Name (line 2)

09235158

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) SUN MICROSYSTEMS, INC.

Name (line 2)

Address (line 1) 901 SAN ANTONIO ROAD

Address (line 2)

Address (line 3) PALO ALTO

CALIFORNIA

94303-4900

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number **408-441-1100**

Name **DAVID B. RITCHIE**

Address (line 1) **D'ALESSANDRO & RITCHIE**

Address (line 2)

Address (line 3) **P.O. BOX 640640**

Address (line 4) **SAN JOSE, CALIFORNIA 95164-0640**

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

5

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/235,158

09/235,159

09/235,156

09/235,155

09/235,157

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

5

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ **200.00**

Method of Payment:
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

04-0025

Authorization to charge additional fees:

Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

David B. Ritchie

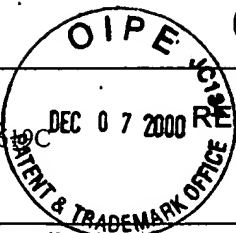
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Signature

Date

7-25-2000

FORM PTO-1619C
Expires 06/30/99
OMB 0651-0027



RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

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Patent and Trademark Office
PATENT

Conveying Party(ies)

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Enter additional Conveying Parties

Execution Date
Month Day Year

Name (line 1) STREICH, Andy

07 20 00

Name (line 2)

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DEC 18 2000

Technology Center 2100

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

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Name (line 2)

Address (line 1)

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Address (line 3)

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Patent Application Number(s)

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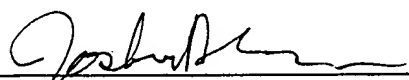
ASSIGNMENT

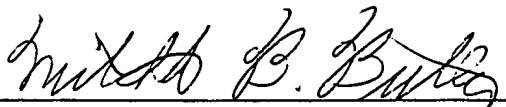
WHEREAS, we, Joshua Susser, a citizen of the United States of America, residing at 216 Dorland Street, San Francisco, California 94114, Mitchel B. Butler, a citizen of the United States of America, residing at 522 N. Cascade Terrace, Sunnyvale, California 94087, and Andy Streich, a citizen of the United States of America, residing at 693 Beach Park Boulevard, Foster City, California 94404, have invented a **"Techniques for Implementing Security on a Small Footprint Device Using a Context Barrier"** for which we have executed application papers for a U.S. patent thereon which was filed on January 22, 1999, serial no. 09/235,158; and;

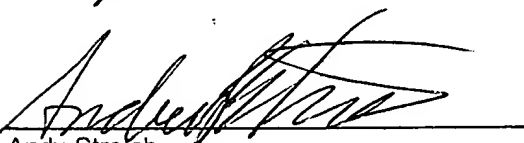
WHEREAS, Sun Microsystems, Inc., a Delaware corporation, having a place of business 901 San Antonio Road, Palo Alto, California 94303-4900, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, we, Joshua Susser, Mitchel B. Butler, and Andy Streich, do sell, assign, transfer and set over unto the said Sun Microsystems, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Sun Microsystems, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS MY HAND at Cupertino, California, this 20th day of July, 2000.


Joshua Susser


Mitchel B. Butler


Andy Streich

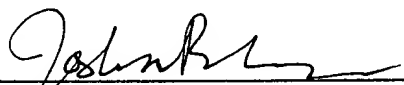
ASSIGNMENT

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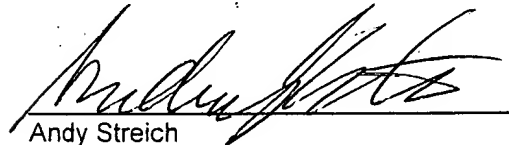
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WITNESS MY HAND at Cupertino, California, this 20th day of July, 2000.


Joshua Susser


Mitchel B. Butler


Andy Streich


ASSIGNMENT


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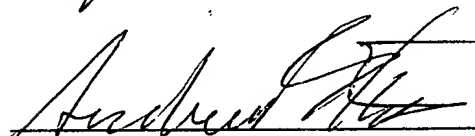
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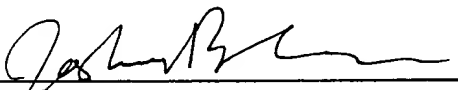
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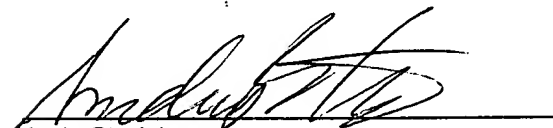
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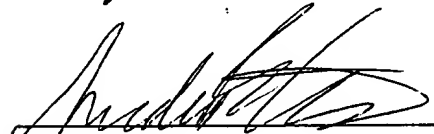
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